

Homer et al.  
USSN: 10/766,369



|                                                   |  |                                  |                          |
|---------------------------------------------------|--|----------------------------------|--------------------------|
| FORM PTO-1449<br>INFORMATION DISCLOSURE STATEMENT |  | ATTY DOCKET NO.<br>SHP026.4.3    | SERIAL NO.<br>10/766,369 |
|                                                   |  | APPLICANT(S): FERGUSON et al.    |                          |
|                                                   |  | FILING DATE:<br>January 28, 2004 | ART UNIT:<br>Unknown     |

UNITED STATES PATENT DOCUMENTS

| EXAM.<br>INITIAL | DOCUMENT<br>NUMBER | DATE     | INVENTORS       | CLASS | SUB<br>CLASS | FIL.<br>DATE<br>IF<br>APPR |
|------------------|--------------------|----------|-----------------|-------|--------------|----------------------------|
| B8               | 1,436,707          | 11/28/22 | G. Gaschke      |       |              | 8/1/2021                   |
|                  | 4,332,323          | 06/01/82 | E. Reenstierna  | 206   | 365          | 10/26/1978                 |
|                  | 4,373,526          | 02/15/83 | L. Kling        | 128   | 215          | 7/8/1980                   |
|                  | 4,762,516          | 08/09/88 | R. Luther       | 605   | 164          | 3/5/1987                   |
|                  | 4,790,828          | 12/13/88 | M. Dombrowski   | 604   | 198          | 8/7/1987                   |
|                  | 4,804,371          | 02/14/89 | V. Vaillancourt | 604   | 198          | 11/12/1987                 |
|                  | 4,826,490          | 05/02/89 | P. Byrne        | 604   | 198          | 7/23/1986                  |
|                  | 4,832,696          | 05/23/89 | R. Luther       | 604   | 164          | 11/2/1987                  |
|                  | 4,834,718          | 05/30/89 | M. McDonald     | 604   | 195          | 6/1/1987                   |
|                  | 4,846,811          | 07/11/89 | M. Vanderhoof   | 604   | 263          | 1/29/1987                  |
|                  | 4,917,669          | 04/17/90 | J. Bonaldo      | 604   | 164          | 2/8/1989                   |
|                  | 4,929,241          | 05/29/90 | J. Kulli        | 604   | 263          | 8/5/1988                   |
|                  | 4,931,048          | 06/05/90 | G. Lopez        | 604   | 110          | 10/17/1988                 |
|                  | 4,944,725          | 07/31/90 | M. McDonald     | 604   | 164          | 4/11/1989                  |
|                  | 4,950,252          | 08/21/90 | R. Luther       | 604   | 198          | 5/5/1988                   |
|                  | 4,952,207          | 08/28/90 | F. Lemieux      | 604   | 164          | 7/11/1988                  |
|                  | 4,964,854          | 10/23/90 | R. Luther       | 604   | 166          | 1/23/1989                  |
|                  | 4,978,344          | 12/18/90 | M. Dombrowski   | 604   | 198          | 12/27/1988                 |
|                  | 4,994,041          | 02/19/91 | M. Dombrowski   | 604   | 164          | 4/9/1990                   |
|                  | 5,007,901          | 04/16/91 | J. Shields      | 604   | 110          | 9/12/1990                  |
|                  | 5,049,136          | 09/17/91 | G. Johnson      | 604   | 198          | 1/10/1990                  |
|                  | 5,051,109          | 09/29/91 | A. Simon        | 604   | 263          | 7/16/1990                  |
|                  | 5,053,017          | 10/01/91 | S. Chamuel      | 604   | 192          | 2/28/1990                  |
| B8               | 5,059,180          | 10/22/91 | D. McLees       | 604   | 110          | 11/21/1989                 |

Brian Sund 6-20-05

O I P E  
FORM PTO-1449

## INFORMATION DISCLOSURE STATEMENT

ATTY DOCKET NO.

SHP026.4.3

SERIAL NO.

10/766,369

APPLICANT(S): FERGUSON et al.

FILING DATE:  
January 28, 2004ART UNIT:  
Unknown

## UNITED STATES PATENT DOCUMENTS

| EXAM.<br>INITIAL |    | DOCUMENT<br>NUMBER | DATE     | INVENTORS     | CLASS | SUB<br>CLASS | FIL.<br>DATE<br>IF<br>APPR |
|------------------|----|--------------------|----------|---------------|-------|--------------|----------------------------|
| B                | 25 | 5,084,023          | 01/28/92 | F. Lemieux    | 604   | 167          | 3/22/1990                  |
|                  | 26 | 5,084,030          | 01/28/92 | P. Byrne      | 604   | 198          | 10/11/1990                 |
|                  | 27 | 5,085,648          | 02/04/92 | E. Purdy      | 604   | 198          | 9/13/1990                  |
|                  | 28 | 5,127,905          | 07/07/92 | F. Lemieux    | 604   | 164          | 10/30/1991                 |
|                  | 29 | 5,135,504          | 08/04/92 | D. McLees     | 604   | 164          | 7/17/1989                  |
|                  | 30 | 5,147,327          | 09/15/92 | G. Johnson    | 604   | 198          | 8/1/1991                   |
|                  | 31 | 5,171,229          | 12/15/92 | M McNeil      | 604   | 192          | 4/15/1991                  |
|                  | 32 | 5,183,468          | 02/02/93 | D. McLees     | 604   | 164          | 4/2/1991                   |
|                  | 33 | 5,205,829          | 04/27/93 | A. Lituchy    | 604   | 164          | 2/9/1990                   |
|                  | 34 | 5,215,528          | 06/01/93 | E. Purdy      | 604   | 164          | 2/7/1992                   |
|                  | 35 | 5,300,045          | 04/05/94 | W. Plassche   | 604   | 263          | 4/14/1993                  |
|                  | 36 | 5,312,371          | 05/17/94 | M. Dombrowski | 604   | 198          | 7/27/1993                  |
|                  | 37 | 5,322,517          | 06/21/94 | R. Sircom     | 604   | 198          | 2/2/1990                   |
|                  | 38 | 5,328,482          | 07/12/94 | R. Sircom     | 604   | 164          | 3/20/1991                  |
|                  | 39 | 5,334,158          | 08/02/94 | D. McLees     | 604   | 110          | 12/20/1993                 |
|                  | 40 | 5,342,310          | 08/30/94 | U. Ueyama     | 604   | 110          | 8/24/1993                  |
|                  | 41 | 5,344,408          | 09/06/94 | L. Partika    | 604   | 192          | 8/6/1993                   |
|                  | 42 | 5,348,544          | 09/20/94 | N. Sweeney    | 604   | 192          | 11/24/1993                 |
|                  | 43 | 5,411,486          | 05/02/95 | F. Zadini     | 604   | 198          | 7/21/1993                  |
|                  | 44 | 5,417,659          | 05/23/95 | R. Gaba       | 604   | 110          | 7/20/1993                  |
|                  | 45 | 5,419,766          | 05/30/95 | J. Chang      | 604   | 110          | 9/28/1993                  |
|                  | 46 | 5,423,766          | 06/13/95 | Di Cesare     | 604   | 192          | 8/26/1994                  |
|                  | 47 | 5,458,658          | 10/17/95 | R. Sircom     | 604   | 192          | 5/6/1994                   |
|                  | 48 | 5,478,313          | 12/26/95 | J. White      | 604   | 110          | 8/18/1994                  |
|                  | 49 | 5,487,733          | 01/30/96 | Caizza et al. | 604   | 110          | 4/11/1995                  |
|                  | 50 | 5,533,974          | 07/09/96 | Gaba          | 604   | 110          | 1/23/1995                  |

Brian Sennet

6-20-05

| FORM PTO-1449<br>INVENTION DISCLOSURE STATEMENT<br><i>APR 12 2004</i> |                 |           |           | ATTY DOCKET NO.<br>SHP026.4.3    | SERIAL NO.<br>10/766,369 |                   |            |
|-----------------------------------------------------------------------|-----------------|-----------|-----------|----------------------------------|--------------------------|-------------------|------------|
|                                                                       |                 |           |           | APPLICANT(S): FERGUSON et al.    |                          |                   |            |
|                                                                       |                 |           |           | FILING DATE:<br>January 28, 2004 | ART UNIT:<br>Unknown     |                   |            |
| UNITED STATES PATENT DOCUMENTS                                        |                 |           |           |                                  |                          |                   |            |
| EXAMINER<br>INITIAL                                                   | DOCUMENT NUMBER | DATE      | INVENTORS | CLASS                            | SUB CLASS                | FIL. DATE IF APPR |            |
| <i>B</i>                                                              | 51              | 5,531,704 | 07/02/96  | E. Knotek                        | 604                      | 192               | 3/3/1995   |
|                                                                       | 52              | 5,538,508 | 07/23/96  | R. Steyn                         | 604                      | 192               | 7/30/1993  |
|                                                                       | 53              | 5,549,570 | 08/27/96  | A. Rogalsky                      | 604                      | 198               | 4/29/1994  |
|                                                                       | 54              | 5,558,651 | 09/24/96  | M. Crawford                      | 604                      | 263               | 12/27/1994 |
|                                                                       | 55              | 5,562,624 | 10/08/96  | N. Righi                         | 604                      | 110               | 5/11/1994  |
|                                                                       | 56              | 5,562,633 | 10/08/96  | R. Wozencroft                    | 604                      | 171               | 9/22/1992  |
|                                                                       | 57              | 5,582,597 | 12/10/96  | Brimhall et al.                  | 604                      | 192               | 7/11/1995  |
|                                                                       | 58              | 5,584,809 | 12/17/96  | R. Gaba                          | 604                      | 110               | 6/7/1995   |
|                                                                       | 59              | 5,584,810 | 12/17/96  | Brimhall                         | 604                      | 110               | 7/11/1995  |
|                                                                       | 60              | 5,584,818 | 12/17/96  | D. Morrison                      | 604                      | 197               | 9/25/1995  |
|                                                                       | 61              | 5,599,310 | 02/04/97  | D. Bogert                        | 604                      | 164               | 6/7/1995   |
|                                                                       | 62              | 5,601,532 | 02/11/97  | Gaba                             | 604                      | 110               | 1/11/1996  |
|                                                                       | 63              | 5,601,536 | 02/11/97  | M. Crawford                      | 604                      | 263               | 6/5/1995   |
|                                                                       | 64              | 5,611,781 | 03/18/97  | R. Sircom                        | 604                      | 164               | 7/11/1994  |
|                                                                       | 65              | 5,662,610 | 09/02/97  | R. Sircom                        | 604                      | 110               | 6/7/1995   |
|                                                                       | 66              | 5,683,365 | 11/04/97  | H. Brown                         | 604                      | 110               | 6/7/1995   |
|                                                                       | 67              | 5,697,907 | 12/16/97  | R. Gaba                          | 604                      | 110               | 1/19/1996  |
|                                                                       | 68              | 5,718,688 | 02/17/98  | R. Wozencroft                    | 604                      | 164               | 8/18/1995  |
|                                                                       | 69              | 5,725,504 | 03/10/98  | M. Collins                       | 604                      | 165               | 1/9/1997   |
|                                                                       | 70              | 5,749,856 | 05/12/98  | F. Zadini                        | 604                      | 162               | 1/24/1995  |
|                                                                       | 71              | 5,853,393 | 12/29/98  | D. Bogert                        | 604                      | 165               | 6/7/1995   |
|                                                                       | 72              | 5,879,337 | 03/09/99  | T. Kuracina                      | 604                      | 192               | 2/27/1997  |
|                                                                       | 73              | 5,882,337 | 03/16/99  | D. Bogert                        | 604                      | 110               | 6/7/1995   |
|                                                                       | 74              | 5,910,130 | 06/08/99  | Calzza et al.                    | 604                      | 110               | 9/25/1997  |
| <i>B</i>                                                              | 75              | 5,911,705 | 06/15/99  | G. Howell                        | 604                      | 110               | 5/8/1998   |

*Brian Sennel* 6-70-05

| <b>FORM PTO-1449</b><br><b>INFORMATION DISCLOSURE STATEMENT</b><br><br><i>O P P E</i><br><i>APR 12 2004</i><br><i>PATENT &amp; TRADEMARK OFFICE</i> |     |                      |          | ATTY DOCKET NO.               | SERIAL NO. |              |                            |
|-----------------------------------------------------------------------------------------------------------------------------------------------------|-----|----------------------|----------|-------------------------------|------------|--------------|----------------------------|
|                                                                                                                                                     |     |                      |          | SHP026.4.3                    | 10/766,369 |              |                            |
|                                                                                                                                                     |     |                      |          | APPLICANT(S): FERGUSON et al. |            |              |                            |
| FILING DATE:<br>January 28, 2004                                                                                                                    |     | ART UNIT:<br>Unknown |          |                               |            |              |                            |
| <b>UNITED STATES PATENT DOCUMENTS</b>                                                                                                               |     |                      |          |                               |            |              |                            |
| EXAM.<br>INITIAL                                                                                                                                    |     | DOCUMENT<br>NUMBER   | DATE     | INVENTORS                     | CLASS      | SUB<br>CLASS | FIL.<br>DATE<br>IF<br>APPR |
| <i>BB</i>                                                                                                                                           | 76  | 5,951,515            | 09/14/99 | R. Osterlind                  | 604        | 110          | 3/7/1997                   |
|                                                                                                                                                     | 77  | 5,980,488            | 11/09/99 | D. Thorne                     | 604        | 110          | 7/17/1998                  |
|                                                                                                                                                     | 78  | 6,001,080            | 12/14/99 | T. Kuracina                   | 604        | 171          | 10/13/1998                 |
|                                                                                                                                                     | 79  | 6,004,294            | 12/21/99 | G. Brimhall                   | 604        | 164          | 4/9/1998                   |
|                                                                                                                                                     | 80  | 6,406,459            | 06/18/02 | Allmon                        | 604        | 192          | 12/21/1999                 |
|                                                                                                                                                     | 81  | 6,117,108            | 09/12/00 | K. Woehr                      | 604        | 110          | 6/12/1998                  |
|                                                                                                                                                     | 82  | 6,132,401            | 10/17/00 | H. Van Der Meyden             | 604        | 195          |                            |
|                                                                                                                                                     | 83  | 6,193,964            | 02/27/01 | D. Bell                       | 604        | 192          | 2/9/2000                   |
|                                                                                                                                                     | 84  | 6,203,527            | 03/20/01 | F. Zadini                     | 604        | 110          | 2/2/1996                   |
|                                                                                                                                                     | 85  | 6,210,373            | 04/03/01 | B. Allmon                     | 604        | 192          | 12/30/1999                 |
|                                                                                                                                                     | 86  | 6,221,047            | 04/24/01 | Green et al.                  | 604        | 164          | 7/31/1998                  |
|                                                                                                                                                     | 87  | 6,280,419            | 08/28/01 | W. Vojtasek                   | 604        | 192          | 8/9/1999                   |
|                                                                                                                                                     | 88  | 6,287,278            | 09/11/01 | Woehr et al.                  | 604        | 110          | 1030/98                    |
|                                                                                                                                                     | 89  | 6,443,927            | 09/03/02 | Cook                          | 604        | 110          | 2/6/2001                   |
|                                                                                                                                                     | 90  | 6,443,929            | 09/03/02 | Kuracina et al.               | 604        | 192          | 8/31/1998                  |
|                                                                                                                                                     | 91  | 6,585,704            | 07/01/03 | Luther et al.                 | 604        | 263          | 1/29/2001                  |
|                                                                                                                                                     | 92  | 6,616,630            | 09/09/03 | Woehr et al.                  | 604        | 110          | 8/14/2000                  |
|                                                                                                                                                     | 93  | 6,623,458            | 09/23/03 | Woehr et al.                  | 604        | 192          | 9/26/2001                  |
|                                                                                                                                                     | 94  | 6,629,959            | 10/07/03 | Kuracina et al.               | 604        | 192          | 4/30/2001                  |
|                                                                                                                                                     | 95  | 6,652,486            | 11/25/03 | Bialecki et al.               | 604        | 110          | 9/27/2001                  |
|                                                                                                                                                     | 96  | 6,682,510            | 01/27/04 | Niermann                      | 604        | 263          | 11/28/2001                 |
|                                                                                                                                                     | 97  | US02/0099339         | 07/25/02 | Niermann                      | 604        | 263          | 11/28/2001                 |
|                                                                                                                                                     | 98  | US02/0107483         | 08/08/02 | Cook                          | 604        | 164.01       | 2/6/2001                   |
|                                                                                                                                                     | 99  | US02/0177813         | 11/28/02 | Adams et al.                  | 604        | 164.07       | 5/25/2001                  |
| <i>BB</i>                                                                                                                                           | 100 | US02/0177818         | 11/28/02 | Vaillancourt                  | 604        | 198          | 4/4/2002                   |

*Brian Szwed* 6-20-05



|                                                   |     |               |          |                                  |                      |        |            |
|---------------------------------------------------|-----|---------------|----------|----------------------------------|----------------------|--------|------------|
| FORM PTO-1449<br>INFORMATION DISCLOSURE STATEMENT |     |               |          | ATTY DOCKET NO.                  | SERIAL NO.           |        |            |
|                                                   |     |               |          | SHP026.4.3                       | 10/766,369           |        |            |
|                                                   |     |               |          | APPLICANT(S): FERGUSON et al.    |                      |        |            |
|                                                   |     |               |          | FILING DATE:<br>January 28, 2004 | ART UNIT:<br>Unknown |        |            |
|                                                   | 101 | US03/0036731  | 02/20/03 | Wilkinson et al.                 | 604                  | 198    | 8/15/2002  |
|                                                   | 102 | US/03/0114797 | 06/19/03 | Vaillancourt et al.              | 604                  | 171    | 12/16/2002 |
|                                                   | 103 | US03/0135157  | 07/17/03 | Saulenas et al.                  | 604                  | 110    | 2/20/2003  |
|                                                   | 104 | US03/0144627  | 07/31/03 | Woehr et al.                     | 604                  | 110    | 9/20/2002  |
|                                                   | 105 | US03/0195471  | 10/16/03 | Woehr et al.                     | 604                  | 164.08 | 5/23/2003  |
|                                                   | 106 | US03/0195479  | 10/16/03 | Kuracina et al.                  | 604                  | 263    | 5/21/2003  |
|                                                   | 107 | US03/0216687  | 11/20/03 | Hwang                            | 604                  | 110    | 5/14/2003  |
|                                                   | 108 | US04/0010227  | 01/15/04 | Riesenberger et al.              | 604                  | 110    | 5/14/2003  |
|                                                   | 109 | US04/0049155  | 03/11/04 | Schramm                          | 604                  | 110    | 4/29/2003  |

#### Foreign Patent Documents

|                        |  | DOCUMENT NUMBER     | DATE     | COUNTRY | CLASS | SUB CLASS     | TRAN Y/N |
|------------------------|--|---------------------|----------|---------|-------|---------------|----------|
|                        |  | 110 EP 0 702 972 B1 | 07/24/95 | EPO     | A61M  | 5/32          | Y        |
|                        |  | 111 EP 0 750 915 A2 | 01/02/97 | EPO     | A61M  | 25/06         |          |
|                        |  | 112 EP 1 027 903 A1 | 08/16/00 | EPO     | A61M  | 25/32         |          |
|                        |  | 113 EP 1 110 571 A1 | 06/27/01 | EPO     | A61M  | 5/32          |          |
|                        |  | 114 EP 1 112 754 A1 | 07/04/01 | EPO     | A61M  | 5/32          |          |
|                        |  | 115 EP 1 374 772 A1 | 01/02/04 | EPO     | A61B  | 5/15          | Y        |
|                        |  | 116 WO 97/42989     | 11/20/97 | PCT     | A61M  | 5/00          |          |
|                        |  | 117 WO 01/56642     | 08/09/01 | PCT     | A61M  | 25/06         |          |
|                        |  | 118 WO 01/10488 A1  | 02/15/01 | PCT     | A61M  | 5/32          |          |
|                        |  | 119 WO 02/45786 A2  | 06/13/02 | PCT     | A61M  | 25/00         |          |
|                        |  | 120 WO 03/103757 A1 | 12/18/03 | PCT     | A61M  | 5/32          | Y        |
| Examiner: Brian Szwarc |  |                     |          |         |       | Date: 6-28-05 |          |
|                        |  |                     |          |         |       |               |          |